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# Remarks on *Q*-points and rapid ultrafilters

#### Jana Flašková

Department of Mathematics University of West Bohemia in Pilsen

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# *Q*-points and rapid ultrafilters

### Definition.

A free ultrafilter  $\mathcal{U}$  is called a *Q*-point if for every  $\{Q_i : i \in \omega\}$ , a partition of  $\omega$  into finite sets, there exists  $U \in \mathcal{U}$  such that  $(\forall i \in \omega) | U \cap Q_i | \leq 1$ .



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# *Q*-points and rapid ultrafilters

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A free ultrafilter  $\mathcal{U}$  is called rapid if for every  $\{Q_i : i \in \omega\}$ , a partition of  $\omega$  into finite sets, there exists  $U \in \mathcal{U}$  such that  $(\forall i \in \omega) |U \cap Q_i| \le i$ .



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# *Q*-points and rapid ultrafilters

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### Alternative definition of an rapid ultrafilter:

A free ultrafilter  $\mathcal{U}$  is called rapid if the enumeration functions of its sets form a dominating family in  $(\omega^{\omega}, \leq^*)$ .

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Every *Q*-point is rapid, but the converse is not true.

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Theorem (Booth?). (CH) *Q*-points exist.

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Theorem (Booth?). (CH) *Q*-points exist.

Theorem (Miller).

In Laver's model there are no rapid ultrafilters.

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Theorem (Miller).

In Laver's model there are no rapid ultrafilters.

In every model where *Q*-points are known not to exist, rapid ultrafilters do not exist either.

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### Generic existence

### Definition (Canjar).

We say that *Q*-points (respectively rapid ultrafilters) exist generically if every filter of character  $< \vartheta$  is included in a *Q*-point (respectively rapid ultrafilter).



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### Generic existence

### Definition (Canjar).

We say that *Q*-points (respectively rapid ultrafilters) exist generically if every filter of character  $< \mathfrak{d}$  is included in a *Q*-point (respectively rapid ultrafilter).

### Theorem (Canjar).

The following are equivalent:

- $\operatorname{cov}(\mathcal{M}) = \mathfrak{d},$
- Q-points exist generically,
- Rapid ultrafilters exist generically.



Proof

# Generic existence – questions

### Definition.

We say that *Q*-points (respectively rapid ultrafilters) exist generically if every filter of character < c is included in a *Q*-point (respectively rapid ultrafilter).



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# Generic existence – questions

### Definition.

We say that *Q*-points (respectively rapid ultrafilters) exist generically if every filter of character < c is included in a *Q*-point (respectively rapid ultrafilter).

Question 1. Which equality of cardinal invariants describes the generic existence of *Q*-points (rapid ultrafilters) if we consider the modified definition?

Question 2. Are general existence of *Q*-points and general existence of rapid ultrafilters equivalent also in this new definition?

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# Product of ultrafilters

### Definition.

Introduction

Let  $\mathcal{U}$  and  $\mathcal{V}$ ,  $n \in \omega$ , be ultrafilters on  $\omega$ . The product of ultrafilters  $\mathcal{U}$  and  $\mathcal{V}$ , denoted by  $\mathcal{U} \times \mathcal{V}$ , is an ultrafilter on  $\omega \times \omega$  defined by  $A \in \mathcal{U} \times \mathcal{V}$  if and only if  $\{n : \{m : \langle n, m \rangle \in A\} \in \mathcal{V}\} \in \mathcal{U}$ .



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### It is known that $\mathcal{U} \times \mathcal{V}$ is never a *Q*-point.



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### It is known that $\mathcal{U} \times \mathcal{V}$ is never a *Q*-point.

#### Theorem (Miller).

 $\mathcal{U}\times\mathcal{V}$  is a rapid ultrafilter if and only if  $\mathcal{V}$  is rapid.

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### Summable ideals

#### Definition.

Given a function  $g: \omega \to [0, \infty)$  such that  $\sum_{n \in \omega} g(n) = \infty$  then the family

$$\mathcal{I}_g = \{ A \subseteq \omega : \sum_{a \in A} g(a) < +\infty \}$$

is a proper ideal which we call summable ideal determined by function *g*.

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is a proper ideal which we call summable ideal determined by function *g*.

A summable ideal is tall if and only if  $\lim_{n\to\infty} g(n) = 0$ .

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# Characterization of rapid ultrafilters

### Theorem (Vojtáš).

The following are equivalent for an ultrafilter  $\mathcal{U} \in \omega^*$ :

- $\mathcal{U}$  is rapid
- $\mathcal{U} \cap \mathcal{I}_g \neq \emptyset$  for every tall summable ideal  $\mathcal{I}_g$

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# Characterization of rapid ultrafilters

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- U is rapid
- $\mathcal{U} \cap \mathcal{I}_g \neq \emptyset$  for every tall summable ideal  $\mathcal{I}_g$

### One can add two more equivalent conditions:

 (∀f: ω → ω one-to-one) (∃U ∈ U) such that f[U] ∈ I<sub>g</sub> for every tall summable ideal I<sub>g</sub>

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# Characterization of rapid ultrafilters

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- (∀f: ω → ω one-to-one) (∃U ∈ U) such that f[U] ∈ I<sub>g</sub> for every tall summable ideal I<sub>g</sub>
- (∀f : ω → ω finite-to-one) (∃U ∈ U) such that f[U] ∈ I<sub>g</sub> for every tall summable ideal I<sub>g</sub>



# Definition.

An ultrafilter  $\mathcal{U} \in \omega^*$  is called an  $\mathcal{I}_g$ -ultrafilter if for every  $f : \omega \to \omega$  there exists  $U \in \mathcal{U}$  such that  $f[U] \in \mathcal{I}_g$ .





# $\mathcal{I}_g$ -ultrafilters

#### Definition.

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Rapid ultrafilters need not be  $\mathcal{I}_g$ -ultrafilters.





# $\mathcal{I}_g$ -ultrafilters

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### Rapid ultrafilters need not be $\mathcal{I}_{g}$ -ultrafilters.

 If U and V are I<sub>g</sub>-ultrafilters then the ultrafilter product U × V is also an I<sub>g</sub>-ultrafilter.

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# $\mathcal{I}_g$ -ultrafilters and Q-points

#### Theorem 4.

 $(\text{MA}_{ctble})$  For every tall ideal  $\mathcal I$  there is a  $\mathit{Q}\text{-point}$  which is not an  $\mathcal I\text{-ultrafilter}.$ 

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### Theorem 5.

 $(MA_{ctble})$  Assume  $\{\mathcal{I}_{\alpha} : \alpha < \mathfrak{c}\}$  is a family of tall ideals. There is a *Q*-point which is not an  $\mathcal{I}_{\alpha}$ -ultrafilter for any  $\alpha < \mathfrak{c}$ .

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### Corollary 6.

 $(MA_{ctble})$  There is a *Q*-point which is not an  $\mathcal{I}_g$ -ultrafilter for any summable ideal  $\mathcal{I}_g$ .

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### Theorem 7.

 $(MA_{ctble})$  There exists  $\mathcal{U} \in \omega^*$  such that  $\mathcal{U}$  is an  $\mathcal{I}_g$ -ultrafilter for every tall summable ideal  $\mathcal{I}_g$  and  $\mathcal{U}$  is not a Q-point.

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# $\mathcal{I}_g$ -ultrafilters and Q-points

#### Theorem 7.

 $(MA_{ctble})$  There exists  $\mathcal{U} \in \omega^*$  such that  $\mathcal{U}$  is an  $\mathcal{I}_g$ -ultrafilter for every tall summable ideal  $\mathcal{I}_g$  and  $\mathcal{U}$  is not a Q-point.

The idea of the proof:

1. Take  $\mathcal{V}$  which is  $\mathcal{I}_g$ -ultrafilter for every  $\mathcal{I}_g$ .

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# $\mathcal{I}_g$ -ultrafilters and Q-points

#### Theorem 7.

 $(MA_{ctble})$  There exists  $\mathcal{U} \in \omega^*$  such that  $\mathcal{U}$  is an  $\mathcal{I}_g$ -ultrafilter for every tall summable ideal  $\mathcal{I}_g$  and  $\mathcal{U}$  is not a Q-point.

#### The idea of the proof:

- 1. Take  $\mathcal{V}$  which is  $\mathcal{I}_g$ -ultrafilter for every  $\mathcal{I}_g$ .
- 2. Put  $\mathcal{U} = \mathcal{V} \times \mathcal{V}$  where  $\mathcal{V}$ .

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# $\mathcal{I}_{g}$ -ultrafilters and rapid ultrafilters

### Corollary 6.

 $(MA_{ctble})$  There is a *Q*-point which is not an  $\mathcal{I}_g$ -ultrafilter for any summable ideal  $\mathcal{I}_g$ .

### Corollary 8.

 $(MA_{ctble})$  There is a rapid ultrafilter which is not an  $\mathcal{I}_g$ -ultrafilter for any summable ideal  $\mathcal{I}_g$ .

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# $\mathcal{I}_g$ -ultrafilters and rapid ultrafilters

### Corollary 6.

 $(MA_{ctble})$  There is a *Q*-point which is not an  $\mathcal{I}_g$ -ultrafilter for any summable ideal  $\mathcal{I}_g$ .

### Corollary 8.

 $(MA_{ctble})$  There is a rapid ultrafilter which is not an  $\mathcal{I}_g$ -ultrafilter for any summable ideal  $\mathcal{I}_g$ .

If  $\mathcal{U} \in \omega^*$  is an  $\mathcal{I}_g$ -ultrafilter for every tall summable ideal  $\mathcal{I}_g$  then  $\mathcal{U}$  is a rapid ultrafilter.

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# $\mathcal{I}_g$ -ultrafilters and rapid ultrafilters

### Theorem 9.

(MA<sub>ctble</sub>) There is an  $\mathcal{I}_{\frac{1}{n}}$ -ultrafilter which is not a rapid ultrafilter.

Proof:

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# $\mathcal{I}_g$ -ultrafilters and rapid ultrafilters

### Theorem 9.

(MA<sub>ctble</sub>) There is an  $\mathcal{I}_{\frac{1}{n}}$ -ultrafilter which is not a rapid ultrafilter.

#### Theorem 10.

(CH) For every tall summable ideal  $\mathcal{I}_g$  there is an  $\mathcal{I}_g$ -ultrafilter which is not rapid.

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### *Q*-points need not be $\mathcal{I}_g$ -ultrafilters Proof of Theorem 5.

### Theorem 5.

 $(MA_{ctble})$  Assume  $\{\mathcal{I}_{\alpha} : \alpha < \mathfrak{c}\}$  is a family of tall ideals. There is a *Q*-point which is not an  $\mathcal{I}_{\alpha}$ -ultrafilter for any  $\alpha < \mathfrak{c}$ .

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### *Q*-points need not be $\mathcal{I}_g$ -ultrafilters Proof of Theorem 5.

### Theorem 5.

 $(MA_{ctble})$  Assume  $\{\mathcal{I}_{\alpha} : \alpha < \mathfrak{c}\}$  is a family of tall ideals. There is a *Q*-point which is not an  $\mathcal{I}_{\alpha}$ -ultrafilter for any  $\alpha < \mathfrak{c}$ .

#### Definition.

A family  $\mathcal{A} = \{A_{\alpha,n} : \alpha \in I, n \in \omega\} \subseteq \mathcal{P}(\omega)$  is called independent with respect to a filter base  $\mathcal{F}$  if  $\{A_{\alpha,n} : n \in \omega\}$  is a partition of  $\omega$ into infinite sets for every  $\alpha \in I$  and  $(\forall B \in \mathcal{F}) \ (\forall M \in [I]^{<\omega})$  $(\forall f : M \to \omega) \ |B \cap \bigcap_{\beta \in M} A_{\beta,f(\beta)}| = \omega.$ 

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# Proof of Theorem 5

#### Outline of the construction

- 1. List all partitions of  $\omega$  into finite sets as  $\{Q_{\alpha} : \alpha < \mathfrak{c}\}$ .
- 2. For  $\alpha < \mathfrak{c}$  construct filter bases  $\mathcal{F}_{\alpha}$ and families  $\mathcal{A}_{\alpha} = \{ \mathcal{A}_{\beta,n} : \beta \leq \alpha, n \in \omega \}$ such that for every  $\alpha < \mathfrak{c}$  the following hold:
  - (i)  $\mathcal{F}_0$  is the Fréchet filter,  $\mathcal{A}_0$  is partition of  $\omega$  into infinite sets

(ii) 
$$\mathcal{F}_{\alpha} \supseteq \mathcal{F}_{\beta}, \mathcal{A}_{\alpha} \supseteq \mathcal{A}_{\beta}$$
 whenever  $\alpha \geq \beta$ 

(iii) 
$$\mathcal{F}_{\gamma} = \bigcup_{\alpha < \gamma} \mathcal{F}_{\alpha}$$
,  $\mathcal{A}_{\gamma} = \bigcup_{\alpha < \gamma} \mathcal{A}_{\alpha}$  for  $\gamma$  limit

(iv)  $(\forall \alpha) |\mathcal{F}_{\alpha}| \leq |\alpha| \cdot \omega$  and  $|\mathcal{A}_{\alpha}| \leq |\alpha| \cdot \omega$ 

(v)  $(\forall \alpha) \mathcal{A}_{\alpha}$  is independent with respect to  $\mathcal{F}_{\alpha}$ 

(vi)  $(\forall \alpha) (\exists B \in \mathcal{F}_{\alpha+1}) (\forall Q \in \mathcal{Q}_{\alpha}) |B \cap Q| \leq 1$ 

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# Proof of Theorem 5

#### Outline of the construction

1. List all partitions of  $\omega$  into finite sets as  $\{Q_{\alpha} : \alpha < \mathfrak{c}\}.$ 

- 2. For α < c construct filter bases F<sub>α</sub> and families A<sub>α</sub> = {A<sub>β,n</sub> : β ≤ α, n ∈ ω} such that for every α < c the following hold:</li>
  (i) F<sub>0</sub> is the Fréchet filter, A<sub>0</sub> is partition of ω into infinite sets
  (ii) F<sub>α</sub> ⊇ F<sub>β</sub>, A<sub>α</sub> ⊇ A<sub>β</sub> whenever α ≥ β
  (iii) F<sub>γ</sub> = ⋃<sub>α<γ</sub> F<sub>α</sub>, A<sub>γ</sub> = ⋃<sub>α<γ</sub> A<sub>α</sub> for γ limit
  (iv) (∀α) |F<sub>α</sub>| ≤ |α| ⋅ ω and |A<sub>α</sub>| ≤ |α| ⋅ ω
  (v) (∀α) (∃B ∈ F<sub>α+1</sub>) (∀Q ∈ Q<sub>α</sub>) |B ∩ Q| ≤ 1
- 3. Complete the induction step using two lemmas:

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# Proof of Theorem 5

Induction step

### Lemma 5a.

(MA<sub>ctble</sub>) Assume  $\mathcal{F}$  is a filter base on  $\omega$  with  $|\mathcal{F}| < \mathfrak{c}$  and  $\mathcal{A} = \{A_{\beta,n} : \beta \leq \alpha, n \in \omega\}, \alpha < \mathfrak{c}$  is independent w. r. t.  $\mathcal{F}$ . Then there exists a partition of  $\omega$  into infinite sets  $\{A_{\alpha+1,n} : n \in \omega\}$  such that  $\mathcal{A}' = \mathcal{A} \cup \{A_{\alpha+1,n} : n \in \omega\}$  is independent with respect to  $\mathcal{F}$ .

#### Lemma 5b.

(MA<sub>ctble</sub>) Let  $\mathcal{F}$  be a filter base on  $\omega$  with  $|\mathcal{F}| < \mathfrak{c}$ ,  $\mathcal{A} = \{A_{\beta,n} : \beta \le \alpha, n \in \omega\}, \alpha < \mathfrak{c}$  an independent family w. r. t. to  $\mathcal{F}$  and  $\mathcal{Q} = \{Q_i : i \in \omega\}$  a partition of  $\omega$  into finite sets. Then there exists  $C \subseteq \omega$  such that  $|C \cap Q| \le 1$  for every  $Q \in \mathcal{Q}$ and  $\mathcal{A}$  is independent with respect to the filter base  $\mathcal{F}'$ generated by  $\mathcal{F}$  and C.

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### $\mathcal{I}_{g}$ -ultrafilters need not be rapid Proof of Theorem 10.

Theorem 10.

(CH) For every tall summable ideal  $\mathcal{I}_g$  there is an  $\mathcal{I}_g$  -ultrafilter which is not rapid.

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#### Theorem 10.

(CH) For every tall summable ideal  $\mathcal{I}_g$  there is an  $\mathcal{I}_g$ -ultrafilter which is not rapid.

### Theorem 10a.

(CH) For arbitrary tall summable ideals  $\mathcal{I}_g$  and  $\mathcal{I}_h$  such that  $\mathcal{I}_g \not\leq_{\mathcal{K}} \mathcal{I}_h$  there is an  $\mathcal{I}_g$ -ultrafilter  $\mathcal{U}$  with  $\mathcal{U} \cap \mathcal{I}_h = \emptyset$ .

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#### Proposition 10b.

For every tall summable ideal  $\mathcal{I}_g$  there is a tall summable ideal  $\mathcal{I}_h$  such that  $\mathcal{I}_g \not\leq_K \mathcal{I}_h$ .

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# Possible extension and its limits

Is it possible that an ultrafilter is an  $\mathcal{I}_g$ -ultrafilter for "many" tall summable ideals simultaneously and still not a rapid ultrafilter?

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# Possible extension and its limits

Is it possible that an ultrafilter is an  $\mathcal{I}_g$ -ultrafilter for "many" tall summable ideals simultaneously and still not a rapid ultrafilter?

### Proposition 11.

There is a family  $\mathcal{D}$  of tall summable ideals such that  $|\mathcal{D}| = \mathfrak{d}$ and an ultrafilter  $\mathcal{U} \in \omega^*$  is rapid if and only if it has a nonempty intersection with every tall summable ideal in  $\mathcal{D}$ .

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#### Proposition 12.

(CH) If  $\mathcal{D}$  is a countable family of tall summable ideals then there is an ultrafilter  $\mathcal{U} \in \omega^*$  such that  $\mathcal{U}$  is an  $\mathcal{I}$ -ultrafilter for every  $\mathcal{I} \in \mathcal{D}$ , but  $\mathcal{U}$  is not a rapid ultrafilter.



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### Questions

Let  $\ensuremath{\mathcal{D}}$  be a family of tall summable ideals.

#### Question 3.

What is the minimal size of the family  $\mathcal{D}$  if rapid ultrafilters can be characterized as those ultrafilters on  $\omega$  which have a nonempty intersection with all the ideals in the family  $\mathcal{D}$ ?



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### Questions

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#### Question 3.

What is the minimal size of the family  $\mathcal{D}$  if rapid ultrafilters can be characterized as those ultrafilters on  $\omega$  which have a nonempty intersection with all the ideals in the family  $\mathcal{D}$ ?

#### Question 4.

Is it true that whenever the cardinality of  $\mathcal{D}$  is less than  $\mathfrak{d}$  then there exist an ultrafilter on the natural numbers which is an  $\mathcal{I}_g$ -ultrafilter for every  $\mathcal{I}_g \in \mathcal{D}$ , but not a rapid ultrafilter?



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